

**IN THE UNITED STATES DISTRICT COURT FOR THE
WESTERN DISTRICT OF MISSOURI
WESTERN DIVISION**

DONNA HUFFMAN,)	
Individually and on Behalf of All)	
Others Similarly Situated,)	
)	
Plaintiffs,)	Case No. 05-01205GAF
)	
v.)	
)	
AUTOMATIC DATA PROCESSING, INC.))	
et al.,)	
Defendants.)	

JOINT STIPULATION IN SUPPORT OF MOTION FOR EXPUNGEMENT ORDER

In support of the motion of Defendant James P. Blake ("Blake") for an order directing National Association of Securities Dealers, Inc. ("NASD") to expunge information from the CRD system arising from Blake's dispute with Plaintiff Donna Huffman ("Huffman"), i.e., Huffman's claims against Blake in this action, Blake asserts, and NASD does not contest, the following:

1. On November 30, 2005, Huffman filed the Class Action Complaint for Violations of Federal Securities and Antitrust Laws ("Complaint"), commencing this action against Blake and various other defendants.
2. On November 15 and November 22, 2006, Blake filed his motion to join NASD as a party and his motion for expungement order, respectively.

3. In its Order, filed December 12, 2006, the Court granted Blake's motion to join NASD as a party to this action. On December 22, 2006, Blake served NASD's registered agent in the State of Missouri with copies of the Order mentioned above, Blake's motion to join NASD and supporting suggestions, and Blake's motion for expungement order and supporting suggestions.
4. Blake is a registered representative and principal associated with ADP Broker Dealer, Inc. ("ADPBD"), which is also a defendant in the action.
5. NASD conducted an investigation of the conduct of Blake and ADPBD alleged in the Complaint.
6. Blake has received and continues to receive a salary from ADP, Inc. and has never received any compensation in the form of commissions from ADP, Inc. or ADPBD.
7. Blake's role in ADPBD has not included and does not include drafting marketing and sales brochures, web pages, registration statements, mutual fund prospectuses or other documents that, according to Huffman's Complaint, allegedly did not provide adequate disclosures.
8. Blake was not involved in any alleged communications with Huffman.
9. Blake was not involved in establishing the alleged relationship between ADPBD and Fidelity nor in negotiating alleged contracts or terms between the companies described in Huffman's Complaint.

10. This Court has a sufficient basis for making findings of the type that are described in NASD Rule 2130(b)(1) and issuing an order directing NASD to expunge information from the CRD system arising from Blake's dispute with Huffman, i.e., Huffman's claims against Blake in this action.

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ATTORNEY FOR NATIONAL ASSOCIATION
OF SECURITIES DEALERS, INC.

CERTIFICATE OF SERVICE

I hereby certify that on January 8, 2007, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which sent notification of such filing to the following:

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I further certify that on January 8, 2007, the foregoing was served by mail upon:

Donna C. Huffman
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Plaintiff

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